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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: JOHN A. COPELAND, III Group No.

Serial No.:

10/000,396

Examiner:

Filed:

NOVEMBER 30, 2001

AUG 1 9 2002 Technology Center 2100

Title:

FLOW-BASED DETECTION OF

NETWORK INTRUSIONS

PATENT - TRADEMARK OFFICE

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents

BOX IDS

Washington, DC 20231

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The citation of this information does not constitute an admission of priority or that any cited item is available as a reference, or a waiver of any right the applicant may have under applicable statutes, Rules of Practice in patent cases, or otherwise.

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Substitute for form 1449A/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet 1 of 2

Comp	plet if Kn wn
Application Number	10/000,396
Filing Date	11/30/2001
First Named Inventor	John A. Copeland, III
Group Art Unit	
Examiner Name	
Attorney Docket Number	10775-36246

				U.S. PATENT DOCI	JMENTS	
Examiner Initials*	Cite No.1	Number	Cument Kind Code ² (if known)	Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		5,557,742		Smaha, et al.	09-17-1996	
		5,621,889		Lermuzeaux, et al.	04-15-1997	RECENT
		6,275,942 B1		Bernhard, et al.	08-14-2001	-OEIVI
		6,321,338 B		Porras, et al.	11-20-2001	Auci
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Examiner Initials	No.1	Office ³	Number ⁴	Kind Code ⁵ (if known)	Applicant of Cited Document	Cited Document MM-DD-YYYY	Passages or Relevant Figures Appear	T ⁶

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Examiner Signature	Date Considered	
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Sheet

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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Compl	ete if Known	
Application Number	10/000,396	
Filing Date	11/30/2001	
First Named Inventor	John A. Copeland, III	
Group Art Unit		
Examiner Name		
Attorney Docket Number	10775-36246	

Examiner	Cite	OTHER PRIOR ART – NON PATENT LITERATURE DOCUMENTS Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the	T ²
nitials*	No.1	item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	<u> </u>
		Copeland, John A., et al, "IP Flow Identification for IP Traffic Carried Over Switched Networks," The International Journal of Computer and Telecommunications Networking COMPUTER NETWORKS 31 (1999), pp. 493-504	
		Cooper, Mark "An Overview of Instrusion Detection Systems," Xinetica White Paper, (www.xinetica.com) November 19, 2001	
		Newman, P., et al. "RFC 1953: Ipsilon Flow Management Protocol Specification for IPv4 Version 1.0" (www.xyweb.com/rfc/rfc1953.html) May 19, 1999	
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